BreakfastBriefing

CFO Wealth Management & Private Banking Breakfast Briefing XXVII

REGULATION FOCUS and NAVIGATING THE DATA TIDAL WAVE

Thursday 16 January 2025 (0800-1000) – Walbrook Club, 37A Walbrook, London EC4N 8BS

0800-0810	**WELCOME** Outline the objectives of the CFO Briefing Series and recap on topics discussed at the last briefing. *Dave Mason, CEO at Solve Partners and James Goad, Managing Director at Owen James*
0810-0900	**REGULATION FOCUS – HOW CAN THE FCA AND INDUSTRY COLLABORATE TO ENSURE BETTER CONSUMER OUTCOMES?** With so much regulatory change on the radar, this is a unique opportunity to strategise – Chatham House Rules – directly with the FCA about the emerging risks and how we can work together to ensure the right consumer outcomes. *Expert: Nick Hulme, Head of Department (Advisers, Wealth and Pensions) and Umer Quraishy, Manager (Consumer Investments - Wealth Management), Financial Conduct Authority*
0900-0915	**COFFEE AND NETWORKING** Opportunity to stretch your legs and network with your peers
0915-0955	**NAVIGATING THE DATA TIDAL WAVE: STRATEGIES FOR WEALTH MANAGERS TO MEET FCA DATA DEMANDS** In recent years, wealth management firms have faced an increasing number of data requests from the FCA. Managing this wave of data requests can be resource-intensive, requiring efficient systems and a clear strategy to ensure compliance while minimizing operational disruption. This session will explore practical strategies for CFOs to streamline their processes, leverage technology, and ensure that they stay ahead of regulatory demands without compromising client service in a fast-evolving regulatory environment. *Expert: Mark Elliott, Solution Director at Solve*
0955-1000	**THANK YOU & WAVE GOODBYE** – Key conclusions

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What is the context of these Briefings?

This series of briefings for the Chief Finance Officers from the leading private banks and wealth managers continues to offer a platform for peers to network, hear from the experts and seek solutions to the business issues with which they grapple on a day-to-day basis. These briefings explore topics such as how to manage cost income ratios more effectively; examine different aspects of the customer journey; as well as learn how to keep the regulator happy and provide a high-quality service.

Participant List

- **Arbion Limited** - *Finance Director*
- **Canaccord Genuity Wealth Planning Ltd** - *Group Head of Finance*
- **Cazenove Capital Management Ltd,** *CFO*
- **Charles Stanley Plc** - *Head of Finance Operations and Finance Business Partnering*
- **Credit Suisse Private Bank** - *Managing Director - EMEA Finance*
- **Handelsbanken plc** - *Chief Finance Officer*
- **Handelsbanken plc** - *Deputy CFO*
- **Hurst Point Group** - *Chief Financial Officer*
- **Investec Wealth & Investment (UK)** - *Finance Director*
- **Killik & Co** - *Partner, Chief Financial Officer*
- **Kingswood**, *CFO*
- **LGT Wealth Management -** *Finance Director*
- **M&G Wealth**, *CFO*
- **MASECO Private Wealth**, *CFO*
- **MKC Wealth Ltd** – *CFO*
- **Oberon Investments Limited,** *Finance Director*
- **Rathbones Investment Management Limited**, *CFO*
- **Saltus Partners LLP** – *CFO*
- **Santander Asset Management**, *Finance Director*
- **Seven Investment Management** - *CFO*
- **Stanhope Capital LLP** - *Partner, Group Chief Financial Officer*

Experts & Organisers

- **FCA –** Nick Hulme, *Head of Department (Advisers, Wealth and Pensions)*
- **FCA -** Umer Quraishy, *Manager (Consumer Investments - Wealth Management),*
- **Solve** - Donald Reid, *Founder and NED*
- **Solve** - Dave Mason, *Managing Director*

- **Solve** – Farzana Khalil, *Director Consultant*
- **Solve –** Mark Elliott, *Solution Director*
- **Owen James Group** - Mark Davison - *Relationship Manager*
- **Owen James Group** - James Goad - *Managing Director*
- **Owen James Group** - Rebecca Leitch - *Conference & Content Manager*